

Department of Industrial Engineering and Economics

Working Paper

No. 2017-2

An approximation algorithm for the partial covering 0-1 interger program

Yotaro Takazawa, Shinji Mizuno, Tomonari Kitahara



February 2017

Tokyo Institute of Technology

2-12-1 Ookayama, Meguro-ku, Tokyo 152-8552, JAPAN
<http://educ.titech.ac.jp/iee/>

An approximation algorithm for the partial covering 0–1 integer program

Yotaro Takazawa^{*}, Shinji Mizuno[†], Tomonari Kitahara[‡]

February, 2017

Abstract

The partial covering 0–1 integer program (PCIP) is a relaxed problem of the covering 0–1 integer program (CIP) such that some fixed number of constraints may not be satisfied. This type of relaxation is also discussed in the partial set multi-cover problem (PSMCP) and the partial set cover problem (PSCP). In this paper, we propose an approximation algorithm for PCIP by extending an approximation algorithm for PSCP by Gandhi et al. [5].

keywords: Approximation algorithms, Partial covering 0–1 integer program, Primal-dual method.

1 Introduction

The covering 0–1 integer program (CIP) is a well-known combinatorial optimization problem and formulated as

$$\text{CIP} \left\{ \begin{array}{l} \min \sum_{j \in N} c_j x_j \\ \text{s.t.} \sum_{j \in N} u_{ij} x_j \geq d_i, \quad \forall i \in M, \\ x_j \in \{0, 1\}, \quad \forall j \in N, \end{array} \right. \quad (1)$$

where $M = \{1, \dots, m\}$, $N = \{1, \dots, n\}$, $c_j \geq 0$ ($j \in N$), $u_{ij} \geq 0$ ($i \in M, j \in N$) and $d_i > 0$ ($i \in M$) are given data and x_j ($j \in N$) are 0–1 variables. When the

^{*}Department of Industrial Engineering and Management, Tokyo Institute of Technology

[†]Department of Industrial Engineering and Economics, Tokyo Institute of Technology

[‡]Department of Industrial Engineering and Economics, Tokyo Institute of Technology

problem is relaxed such that some fixed number $p \in \{0, 1, \dots, m\}$ of constraints $\sum_{j \in N} u_{ij}x_j \geq d_i$ ($i \in M$) may not be satisfied, the resulting problem is called the partial covering 0–1 integer program, which is formulated as

$$\text{PCIP} \left\{ \begin{array}{l} \min \sum_{j \in N} c_j x_j \\ \text{s.t.} \sum_{j \in N} u_{ij} x_j + d_i t_i \geq d_i, \quad \forall i \in M, \\ \sum_{i \in M} t_i \leq p, \\ x_j \in \{0, 1\}, \quad \forall j \in N, \\ t_i \in \{0, 1\}, \quad \forall i \in M. \end{array} \right. \quad (2)$$

For a given minimization problem having an optimal solution, an algorithm is called an α -approximation algorithm if it runs in polynomial time and produces a feasible solution whose objective value is less than or equal to α times the optimal value.

PCIP generalizes some important problems for which approximation algorithms are proposed as shown in Table 1, where

$$\begin{aligned} f &= \max_{i \in M} |\{j \in N \mid u_{ij} > 0\}|, \\ \Delta &= \max_{i \in N} |\{i \in M \mid u_{ij} > 0\}|, \\ H(\Delta) &= 1 + \frac{1}{2} + \dots + \frac{1}{\Delta}, \\ d_{\max} &= \max_{i \in M} d_i, \\ d_{\min} &= \min_{i \in M} d_i, \\ \eta &= \Delta \frac{\max_{j \in N} c_j d_{\max}}{\min_{j \in N} c_j d_{\min}}, \\ \gamma &= \frac{m}{m - p\eta}, \\ g &= \max \left\{ \frac{\Delta}{m-p} \left(\frac{1}{f-d_{\max}} + \frac{d_{\max}}{d_{\min}} \right), \frac{f}{d_{\min}} + \left(1 - \frac{1}{d_{\max}} \right) p, p + 1 \right\}. \end{aligned} \quad (3)$$

Table 1: Special cases in PCIP

Problems	Restrictions in PCIP	Approximation ratios
PCIP	-	$\cdot \max\{f, p + 1\}$ (this paper)
Covering 0–1 integer program (CIP)	$p = 0$	$\cdot f$ [3, 4, 7] $\cdot O(\log m)$ [6]
Partial set multi-cover problem (PSMCP)	$u_{ij} \in \{0, 1\}$, d_i is a positive integer	$\cdot \gamma H(\Delta)$ [10] $\cdot g$ [9]
Partial set cover problem (PSCP)	$u_{ij} \in \{0, 1\}$, $d_i = 1$	$\cdot f$ [1, 5] $\cdot \frac{f\Delta}{f+\Delta-1}$ [4]

CIP is a widely studied NP-hard problem since it includes fundamental combinatorial optimization problems such as the vertex cover problem, the set cover

problem, or the minimum knapsack problem. There are some approximation algorithms for CIP, see Table 1 and Koufogiannakis and Young [7].

The partial set multi-cover problem (PSMCP) is a special case of PCIP where $u_{ij} \in \{0, 1\}$ and d_i is a positive integer for $i \in M$ and $j \in N$. There are a lot of applications of PSMCP such as analysis of influence in social network [9, 10] and protein identification [8]. Ran et al. [10] give an approximation algorithm with performance ratio $\gamma H(\Delta)$ under the assumption that $m - p > (1 - \frac{1}{\eta})m$ and $c_j > 0$ ($j \in N$). Ran et al. [9] propose an approximation algorithm with performance ratio g defined in (3).

The partial set cover problem (PSCP) is a special case of PSMCP where $d_i = 1$ for $i \in M$. Some approximation algorithms for PSCP are known as shown in Table 1.

Contribution

We present an α -approximation algorithm for PCIP, where

$$\alpha = \max\{f, p + 1\}. \quad (4)$$

Our algorithm is based on an f -approximation algorithm for PSCP by Gandhi et al. [5]. Their algorithm uses a primal-dual method as a subroutine. In our algorithm, we use a primal-dual algorithm based on Carnes and Shmoys [2] for the minimum knapsack problem and its extension to CIP by Takazawa and Mizuno [11].

Ran et al. [9] raised a question of whether an f -approximation algorithm for PSMCP exists or not. Note that such an algorithm exists for CIP and PSCP as in Table 1. Our algorithm achieves the performance ratio f when $f \geq p + 1$, and therefore we partially answer this question.

Assumption and Notation

Without loss of generality, we assume that

- (2) is feasible, and therefore it has an optimal solution,
- $c_1 \leq \dots \leq c_n$,
- $d_i \geq u_{ij}$ ($i \in M, j \in N$),
- $f \geq 2$.

Let $I = (m, n, U, d, c, p)$ be a data of (2), where U is the matrix of u_{ij} . We call I an instance of PCIP. Let $\text{PCIP}(I)$ be the problem for instance I and $\text{OPT}(I)$

be the optimal value of PCIP(I). For any subset $S \subseteq N$, we define the solution $(\mathbf{x}(S), \mathbf{t}(S))$ as follows:

$$x_j(S) = \begin{cases} 1 & \text{if } j \in S \\ 0 & \text{if } j \notin S \end{cases} \quad \text{for any } j \in N \quad (5)$$

and

$$t_i(S) = \begin{cases} 1 & \text{if } \sum_{j \in S} u_{ij} < d_i \\ 0 & \text{if } \sum_{j \in S} u_{ij} \geq d_i. \end{cases} \quad \text{for any } j \in M. \quad (6)$$

This solution always satisfies the constraints in (2) except for $\sum_{i \in M} t_i \leq p$. Hence $(\mathbf{x}(S), \mathbf{t}(S))$ is feasible to (2) if and only if $\sum_{i \in M} t_i(S) \leq p$.

2 Main-algorithm

Our algorithm is an extension of an f -approximation algorithm for PSCP by Gandhi et al. [5] and consists of two algorithms: Main-algorithm and Sub-algorithm. Sub-algorithm is presented in Section 3. This section is organized as follows:

1. We show a property (Lemma 1) of the solution generated by Sub-algorithm.
2. We explain that we can get an α -approximation solution by using Sub-algorithm if we know partial information about an optimal solution.
3. We introduce Main-algorithm which gives an α -approximation without information about an optimal solution.

For any problem PCIP(I), Sub-algorithm checks whether it is feasible or not. If it is feasible, then the algorithm outputs $\tilde{S} \subseteq N$ such that $(\mathbf{x}(\tilde{S}), \mathbf{t}(\tilde{S}))$ is feasible and has the following property in Lemma 1. The algorithm and the proof of Lemma 1 are shown in Section 3.

Lemma 1. *Sub-algorithm presented in Section 3 outputs $\tilde{S} \subseteq N$ such that the solution $(\mathbf{x}(\tilde{S}), \mathbf{t}(\tilde{S}))$ defined by (5) and (6) is feasible to PCIP(I) and satisfies*

$$\sum_{j \in N} c_j x_j(\tilde{S}) \leq \alpha \text{OPT}(I) + c_n.$$

The running time of Sub-algorithm is $O(mn^2)$.

For an instance $I = (m, n, \mathbf{U}, \mathbf{d}, \mathbf{c}, p)$ and $h \in \{2, \dots, n\}$, we consider a sub-problem of PCIP(I), where we add the following constraints to PCIP(I):

$$\begin{aligned} x_j &= 0 & \text{if } j \geq h + 1, \\ x_j &= 1 & \text{if } j = h. \end{aligned}$$

This sub-problem can be expressed as:

$$\begin{aligned}
\min \quad & \sum_{j \in \{1, \dots, h-1\}} c_j x_j \\
\text{s.t.} \quad & \sum_{j \in \{1, \dots, h-1\}} u_{ij} x_j + d_i t_i \geq d_i - u_{ih}, \quad \forall i \in M = \{1, \dots, m\}, \\
& \sum_{i \in M} t_i \leq p, \\
& x_j \in \{0, 1\}, \quad \forall j \in \{1, \dots, h-1\}, \\
& t_i \in \{0, 1\}, \quad \forall i \in M.
\end{aligned} \tag{7}$$

Hence the instance of this sub-problem can be expressed as follows:

$$I(h) = (m, h-1, U(h), \mathbf{d}(h), \mathbf{c}(h), p), \tag{8}$$

where

$$\begin{aligned}
U(h) &= (\mathbf{u}_1, \dots, \mathbf{u}_{h-1}), \\
\mathbf{d}(h) &= \mathbf{d} - \mathbf{u}_h = (d_1 - u_{1h}, \dots, d_m - u_{mh})^T, \\
\mathbf{c}(h) &= (c_1, \dots, c_{h-1})^T.
\end{aligned}$$

Let S^* be the subset of N such that $(\mathbf{x}(S^*), \mathbf{t}(S^*))$ is an optimal solution of PCIP(I). Define

$$h^* = \max\{j \in N \mid x_j(S^*) = 1\}.$$

Without loss of generality, assume that $h^* \geq 2$ since an optimal solution is obvious when $h^* = 0$ or $h^* = 1$. We can get an α -approximation solution for PCIP(I) by using Sub-algorithm if we know h^* .

Lemma 2. *Let $\tilde{S}(h)$ be the output by Sub-algorithm for the sub-problem PCIP($I(h)$) which is defined by (7). Define $S(h) = \tilde{S}(h) \cup \{h\}$. If $h = h^*$, $S(h^*)$ gives an α -approximation feasible solution for PCIP(I), that is, $(\mathbf{x}(S(h^*)), \mathbf{t}(S(h^*)))$ is feasible to PCIP(I) and the following inequality holds:*

$$\sum_{j \in N} c_j x_j(S(h^*)) \leq \alpha \text{OPT}(I).$$

Proof. $(\mathbf{x}(S(h^*)), \mathbf{t}(S(h^*)))$ is feasible to PCIP(I) since $(\mathbf{x}(\tilde{S}(h^*)), \mathbf{t}(\tilde{S}(h^*)))$ is feasible to PCIP($I(h^*)$) from Lemma 1.

From Lemma 1, $c_{h^*} \geq c_{h^*-1}$ and $\alpha \geq 2$, we have that

$$\begin{aligned}
\sum_{j \in N} c_j x_j(S(h^*)) &= \sum_{j \in \tilde{S}(h^*)} c_j + c_{h^*} \\
&\leq \alpha \text{OPT}(I(h^*)) + c_{h^*-1} + c_{h^*} \\
&\leq \alpha (\text{OPT}(I(h^*)) + c_{h^*}) \\
&= \alpha \text{OPT}(I).
\end{aligned}$$

□

Even though Lemma 2 requires the information about h^* , we don't need it in advance if we execute Sub-algorithm for all PCIP($I(h)$) ($h \in \{2, \dots, n\}$). Main-algorithm is presented as follows:

Main-algorithm

Input: $I = (m, n, U, d, c, p)$.

Step 1: For $h \in \{2, \dots, n\}$, set $S(h) = \emptyset$ and $COST(h) = +\infty$ and do the following process: Let $I(h)$ be the data defined by (8). Execute Sub-algorithm for PCIP($I(h)$). If the problem is feasible, the algorithm outputs $\tilde{S}(h) \subseteq \{1, \dots, h-1\}$. In this case, set $S(h) = \tilde{S}(h) \cup \{h\}$ and $COST(h) = \sum_{j \in N} c_j x_j(S(h))$.

Step 2: Set $\hat{h} = \arg \min_{h \in N} COST(h)$ and output $(x(S(\hat{h})), t(S(\hat{h})))$

Theorem 1. *Main-algorithm is an α -approximation algorithm for PCIP.*

Proof. The running time of the algorithm is $O(mn^3)$ since Sub-algorithm runs in $O(mn^2)$ from Lemma 1 and Main-algorithm executes Sub-algorithm at most n times. Therefore Main-algorithm is a polynomial time algorithm.

$(x(S(\hat{h})), t(S(\hat{h})))$ is clearly feasible to PCIP(I) and from Lemma 2 we obtain that

$$\sum_{j \in N} c_j x_j(S(\hat{h})) \leq \sum_{j \in N} c_j x_j(S(h^*)) \leq \alpha OPT(I).$$

□

3 Sub-algorithm

In this section, we show Sub-algorithm and prove Lemma 1. Sub-algorithm is based on a 2-approximation algorithm for the minimum knapsack problem by Carnes and Shmoys [2] and its extension to CIP by Takazawa and Mizuno [11]. Both of the algorithms use an LP relaxation of CIP proposed by Carr et al. [3]. We apply this relaxation to PCIP and we have the following problem:

$$\begin{aligned} \min \quad & \sum_{j \in N} c_j x_j \\ \text{s.t.} \quad & \sum_{j \in N \setminus A} u_{ij}(A) x_j + d_i(A) t_i \geq d_i(A), \quad \forall i \in M, \forall A \subseteq N \\ & \sum_{i \in M} t_i \leq p, \\ & x_j \geq 0, \quad \forall j \in N, \\ & t_i \geq 0, \quad \forall i \in M, \end{aligned} \tag{9}$$

where

$$\begin{aligned} d_i(A) &= \max\{0, d_i - \sum_{j \in A} u_{ij}\}, \quad \forall i \in M, \forall A \subseteq N, \\ u_{ij}(A) &= \min\{u_{ij}, d_i(A)\}, \quad \forall i \in M, \forall A \subseteq N, \forall j \in N \setminus A. \end{aligned} \quad (10)$$

Carr et al. [3] show the following result.

Lemma 3. (9) is a relaxation problem of PCIP, that is, any feasible solution (\mathbf{x}, \mathbf{t}) for PCIP is feasible to (9).

The dual of (9) is expressed as

$$\begin{aligned} \max \quad & \sum_{i \in M} \sum_{A \subseteq N} d_i(A) y_i(A) - pz \\ \text{s.t.} \quad & \sum_{i \in M} \sum_{A \subseteq N: j \notin A} u_{ij}(A) y_i(A) \leq c_j, \quad \forall j \in N, \\ & \sum_{A \subseteq N} d_i(A) y_i(A) \leq z, \quad \forall i \in M, \\ & y_i(A) \geq 0, \quad \forall A \subseteq N, \forall i \in M, \\ & z \geq 0. \end{aligned} \quad (11)$$

Now, we introduce a useful result for later discussion.

Lemma 4. Let S be a subset of N such that $(\mathbf{x}(S), \mathbf{t}(S))$ is infeasible to PCIP(I), (\mathbf{y}, z) be a feasible solution to (11). Define $M_1(S) = \{i \in M \mid t_i(S) = 1\}$. If

$$\begin{aligned} (a-1) \quad & \forall j \in N, x_j(S) = 1 \Rightarrow \sum_{i \in M} \sum_{A \subseteq N: j \notin A} u_{ij}(A) y_i(A) = c_j, \\ (a-2) \quad & i \in M_1(S) \Rightarrow \sum_{A \subseteq N} d_i(A) y_i(A) = z, \\ (b) \quad & \forall i \in M_1(S), \forall A \subseteq N, y_i(A) > 0 \Rightarrow \sum_{j \in S \setminus A} u_{ij}(A) \leq d_i(A), \end{aligned}$$

then the following inequalities hold:

$$\sum_{j \in N} c_j x_j(S) \leq \alpha \left(\sum_{i \in M} \sum_{A \subseteq N} d_i(A) y_i(A) - pz \right) \leq \alpha \text{OPT}(I). \quad (12)$$

Proof. For any $A \subseteq N$ and $i \in M$, we have that

$$\sum_{j \in S \setminus A} u_{ij}(A) \leq \alpha d_i(A) \quad (13)$$

by (4) and (10). Since $(\mathbf{x}(S), \mathbf{t}(S))$ is infeasible, the following inequality holds:

$$|M_1(S)| \geq p + 1. \quad (14)$$

From (a-1), the objective function value of $(\mathbf{x}(S), \mathbf{t}(S))$ is

$$\sum_{j \in N} c_j x_j(S) = \sum_{j \in S} c_j = \sum_{j \in S} \sum_{i \in M} \sum_{A \subseteq N: j \notin A} u_{ij}(A) y_i(A). \quad (15)$$

We introduce the following symbol for convenience:

$$u'_{ij}(A) = \begin{cases} u_{ij}(A) & \text{if } j \notin A \\ 0 & \text{if } j \in A. \end{cases}$$

By using $u'_{ij}(A)$, we can express the right-hand side on (15) as follows:

$$\sum_{j \in S} \sum_{i \in M} \sum_{A \subseteq N} u'_{ij}(A) y_i(A) = \sum_{i \in M} \sum_{A \subseteq N} \sum_{j \in S} u'_{ij}(A) y_i(A) = \sum_{i \in M} \sum_{A \subseteq N} \sum_{j \in S \setminus A} u_{ij}(A) y_i(A).$$

Define $M_0(S) = M \setminus M_1(S)$ and we obtain that

$$\begin{aligned} & \sum_{i \in M} \sum_{A \subseteq N} \sum_{j \in S \setminus A} u_{ij}(A) y_i(A) \\ = & \sum_{i \in M_0(S)} \sum_{A \subseteq N} \sum_{j \in S \setminus A} u_{ij}(A) y_i(A) + \sum_{i \in M_1(S)} \sum_{A \subseteq N} \sum_{j \in S \setminus A} u_{ij}(A) y_i(A) \\ = & \sum_{i \in M_0(S)} \sum_{A \subseteq N} y_i(A) \sum_{j \in S \setminus A} u_{ij}(A) + \sum_{i \in M_1(S)} \sum_{A \subseteq N} y_i(A) \sum_{j \in S \setminus A} u_{ij}(A) \\ \leq & \alpha \sum_{i \in M_0(S)} \sum_{A \subseteq N} d_i(A) y_i(A) + \sum_{i \in M_1(S)} \sum_{A \subseteq N} d_i(A) y_i(A), \end{aligned}$$

where the last inequality holds from (13) and (b). Hence we have that

$$\sum_{j \in N} c_j x_j(S) \leq \alpha \sum_{i \in M_0(S)} \sum_{A \subseteq N} d_i(A) y_i(A) + \sum_{i \in M_1(S)} \sum_{A \subseteq N} d_i(A) y_i(A).$$

Taking the difference between two values in (12),

$$\begin{aligned} & \alpha \left(\sum_{i \in M} \sum_{A \subseteq N} d_i(A) y_i(A) - pz \right) - \sum_{j \in N} c_j x_j(S) \\ \geq & (\alpha - 1) \sum_{i \in M_1(S)} \sum_{A \subseteq N} d_i(A) y_i(A) - \alpha pz \\ = & (\alpha - 1) |M_1(S)| z - \alpha pz \tag{16} \\ \geq & (\alpha - (p + 1)) z \geq 0, \tag{17} \end{aligned}$$

where the equality (16) follows from (a-2) and inequalities (17) follow from (14) and (4). Since (\mathbf{y}, z) is feasible to (11), the objective value of (\mathbf{y}, z) is less than or equal to the optimal value of (9), which is less than or equal to $\text{OPT}(I)$. Thus we have that

$$\alpha \left(\sum_{i \in M} \sum_{A \subseteq N} d_i(A) y_i(A) - pz \right) \leq \alpha \text{OPT}(I). \tag{18}$$

□

Sub-algorithm is presented below. Solutions generated by the algorithm, except for the final solution, satisfy all the conditions in Lemma 4. In Sub-algorithm, we use the following symbols:

- a set $S \subseteq N$.
- a solution (\mathbf{y}, z) for (11).
- $M_1(S) = \{i \in M \mid \sum_{j \in S} u_{ij} < d_i\}$.
- $N'(S) = \{j \in N \setminus S \mid \sum_{i \in M_1(S)} u_{ij}(S) > 0\}$.
- $\forall j \in N, \bar{c}_j = c_j - \sum_{i \in M} \sum_{A \subseteq N: j \notin A} u_{ij}(A) y_i(A)$.

Sub-algorithm

Input: $I = (m, n, U, \mathbf{d}, \mathbf{c}, p)$.

Step 0: Set $S = \emptyset$, $(\mathbf{y}, z) = (\mathbf{0}, 0)$ and $\bar{\mathbf{c}} = \mathbf{c}$. Check whether $(\mathbf{x}(N), \mathbf{t}(N))$ is feasible or not. If it is not feasible, declare INFEASIBLE and stop.

Step 1: Calculate $d_i(S)$ by (10) for $i \in M$. Update $M_1(S)$. If $|M_1(S)| \leq p$, output $\tilde{S} = S$ and stop. Otherwise, calculate $u_{ij}(S)$ by (10) for all $i \in M_1(S)$ and $j \in N$. Update $N'(S)$.

Step 2: For any $i \in M_1(S)$, increase all $y_i(S)$ at the rate $1/d_i(S)$ as much as possible while maintaining $\sum_{i \in M_1(S)} u_{ij}(S) y_i(S) \leq \bar{c}_j$ for any $j \in N'(S)$. That is, set

$$y_i(S) = \frac{\bar{c}_s}{\sum_{i' \in M_1(S)} (u_{i's}(S)/d_{i'}(S))} \frac{1}{d_i(S)},$$

where

$$s = \arg \min_{j \in N'(S)} \frac{\bar{c}_j}{\sum_{i' \in M_1(S)} (u_{i'j}(S)/d_{i'}(S))}$$

for all $i \in M_1(S)$. Update $\bar{c}_j := \bar{c}_j - \sum_{i \in M_1(S)} u_{ij}(A) y_i(S)$ for all $j \in N'(S)$ and

$$z := z + \frac{\bar{c}_s}{\sum_{i' \in M_1(S)} (u_{i's}(S)/d_{i'}(S))}.$$

Note that for any $i \in M$ we have

$$\sum_{A \subseteq N} d_i(A) y_i(A) \leq z,$$

where the equality holds if $i \in M_1(S)$. Update $S := S \cup \{s\}$ and go back to Step 1.

We show that solutions generated by Sub-algorithm, except for the final solution, satisfy all the conditions in Lemma 4.

Lemma 5. *Let \tilde{S} be the output by Sub-algorithm and $\ell \in N$ be the index added to S at the last iteration by Sub-algorithm. Let (\mathbf{y}, z) be the dual variable at the end of the iteration before ℓ is added. $\tilde{S} \setminus \{\ell\}$ and (\mathbf{y}, z) satisfy the conditions in Lemma 4.*

Proof. Define $S = \tilde{S} \setminus \{\ell\}$.

feasibility: Clearly $(\mathbf{x}(S), \mathbf{t}(S))$ is infeasible to PCIP(I). On the other hand, (\mathbf{y}, z) is feasible to the dual (11) since Sub-algorithm starts from the dual feasible solution $(\mathbf{y}, z) = (\mathbf{0}, 0)$ and maintains dual feasibility at every iteration.

(a-1) and (a-2): (a-1) and (a-2) are satisfied by the way the algorithm updates S and z , respectively.

(b): From Step 2, $y(A) > 0$ implies

$$A \subseteq S.$$

Also, $i \in M_1(S)$ implies

$$\sum_{j \in S} u_{ij} < d_i.$$

Thus, for all $i \in M_1(S)$ and $A \subseteq N$ such that $y_i(A) > 0$,

$$\sum_{j \in S \setminus A} u_{ij}(A) \leq \sum_{j \in S \setminus A} u_{ij} = \sum_{j \in S} u_{ij} - \sum_{j \in A} u_{ij} < d_i - \sum_{j \in A} u_{ij} \leq d_i(A),$$

where the first and last inequalities follow from (10).

□

Now we can easily prove Lemma 1.

Proof of Lemma 1. $(\mathbf{x}(\tilde{S}), \mathbf{t}(\tilde{S}))$ is clearly feasible and from Lemma 4 and Lemma 5, we have that

$$\sum_{j \in N} c_j x_j(\tilde{S}) \leq \alpha OPT(I) + c_\ell \leq \alpha OPT(I) + c_n.$$

The running time of Sub-algorithm is $O(mn^2)$ since one iteration requires $O(mn)$ operations and the number of iterations is at most n . □

4 Conclusion

The partial covering 0–1 integer program (PCIP) is a generalization of the covering 0–1 integer program (CIP) and the partial set cover problem (PSCP). For PCIP, we proposed a $\max\{f, p+1\}$ -approximation algorithm, where f is the largest number of non-zero coefficients in the constraints and p is the number of constraints which may not be satisfied. If $f \geq p+1$, the performance ratio of our algorithm is f and it achieves the best performance ratio for CIP and PSCP. It is an open question whether an f -approximation algorithm exists without any assumption.

Acknowledgment

This research is supported in part by Grant-in-Aid for Science Research (A) 26242027 of Japan Society for the Promotion of Science and Grant-in-Aid for Young Scientist (B) 15K15941.

References

- [1] R. Bar-Yahuda: Using homogeneous weights for approximating the partial cover problem, in *Proceedings 10th Annual ACM-SIAM Symposium on Discrete Algorithms* (1999), 71–75.
- [2] T. Carnes and D. Shmoys: Primal-dual schema for capacitated covering problems, *Mathematical Programming*, **153** (2015), 289–308.
- [3] R. D. Carr, L. Fleischer, V. J. Leung and C. A. Phillips: Strengthening integrality gaps for capacitated network design and covering problems, *Proceedings of the 11th Annual ACM-SIAM Symposium on Discrete Algorithms* (2000), 106–115.
- [4] T. Fujito: On combinatorial approximation of covering 0–1 integer programs and partial set cover, *Journal of combinatorial optimization* **8.4** (2004): 439–452.
- [5] R. Gandhi, K. Samir and S. Aravind: Approximation algorithms for partial covering problems, *Journal of Algorithms* **53.1** (2004), 55–84.
- [6] C. Koufogiannakis and N. E. Young: Tight approximation results for general covering integer programs, in *Proceedings 42nd Annual IEEE Symposium on Foundations of Computer Science* (2001), 522–528.

- [7] C. Koufogiannakis and N. E. Young: Greedy δ -approximation algorithm for covering with arbitrary constraints and submodular cost, *Algorithmica*, **66** (2013), 113–152.
- [8] Z. He, C. Yang and W. Yu: A partial set covering model for protein mixture identification using mass spectrometry data, *IEEE/ACM Transactions on Computational Biology and Bioinformatics (TCBB)*, **8.2** (2011), 368–380.
- [9] Y. Ran, Y. Shi and Z. Zhang: Local ratio method on partial set multi-cover, *Journal of Combinatorial Optimization* (2016). doi:10.1007/s10878-016-0066-0
- [10] Y. Ran, Z. Zhang, H. Du and Y. Zhu: Approximation algorithm for partial positive influence problem in social network, *Journal of Combinatorial Optimization* (2016). doi:10.1007/s10878-016-0005-0
- [11] Y. Takazawa and S. Mizuno: A 2-approximation algorithm for the minimum knapsack problem with a forcing graph, to appear in *Journal of Operations Research Society of Japan* (2017).

Yotaro Takazawa
Department of Industrial Engineering and
Management
Tokyo Institute of Technology
2-12-1 Ohokayama
Meguro-ku Tokyo 152-8552, Japan
E-mail: takazawa.y.ab@m.titech.ac.jp